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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit 2173

Examiner Unknown

In Re: Srihari Kumar et al.
Case: P3962
Serial No.: 09/826,613
Filed: 04/04/2001
Subject: Interactive Financial Portfolio Tracking Interface

To: The Commissioner of Patents and Trademarks
Washington, D.C. 20231

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Dear Sir,

Information Disclosure Statement Under 37 C.F.R. 1.97

Enclosed herewith is an Information Disclosure Statement (IDS) by Applicant listing three (3) references received from the PCT International Search Report (copy enclosed) in case PCT/US02/07605, mailed December 18, 2002. Applicant desires that the reference be entered in the above referenced case as relevance dictates.

As prosecution has not begun in this case, in accordance with rule 1.97(b)(3), no additional fee is required. Please charge any deficiency to Deposit Account No. 50-0534.

Respectfully submitted,

Srihari Kumar et al.

by

Donald R. Boys

Reg. No. 35,074

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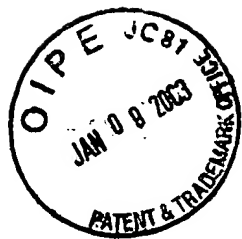
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PTQ/SB/08A (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Examiner Signature		Date Considered	
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Certificate of Express Mailing

"Express Mail" Mailing Label Number: EV139610703US
Date of Deposit: 01/09/2003
Ref: Case Docket No.: P3962
First Named Inventor: Srihari Kumar
Serial Number: 09/826,613
Filing Date: 04/04/2001
Title of Case: Interactive Financial Portfolio Tracking Interface

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I hereby certify that the attached papers are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and addressed to the Commissioner of Patents and Trademarks, Washington D.C. 20231

1. Information Disclosure Statement.
2. Cover Letter for Information Disclosure Statement.
3. Copies of three (3) publications cited in the IDS.
4. Copy of an international search report in case PCT/US02/07605.
5. Certificate of express mailing.
6. Postcard listing contents.

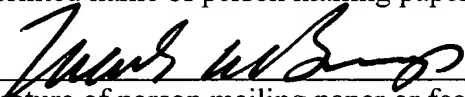
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